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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<i>Application Number</i>	09/687,892
<i>Filing Date</i>	October 13, 2000
<i>First Named Inventor</i>	Phillip Koh-Kwe Hsu
<i>Group Art Unit</i>	2152
<i>Examiner Name</i>	Not yet assigned
<i>Attorney Docket Number</i>	4034-61

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893
Signature	<i>Leslie S Restaino</i>
Date	January 3, 2001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Phillip Koh-Kwe Hsu, et al. Docket No.: 4034-61  
Serial No. 09/687,892 Group No.: 2152  
Filed: October 13, 2000 Examiner: Not yet assigned  
For: SYSTEM AND METHOD FOR DELIVERING A FINANCIAL MESSAGE

Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

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Correct Data  
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1.	
2.	
3.	System And Method For Delivering A Financial Message
4.	
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6.	

Leslie Gladstone Restaino

SIGNATURE OF PRACTITIONER

Leslie Gladstone Restaino

(type or print name of practitioner)

One Speedwell Avenue, Morristown, NJ 07962

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Muriel Fleming

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/687,892	10/13/2000	2152	728	4034-61	40	21	3

FILING RECEIPT

Riker Danzig Scherer Hyland & Perretti LLP  
Headquarters Plaza  
One Speedwell Avenue  
Morristown, NJ 07962



\*OC00000005641314\*

Date Mailed: 12/27/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Phillip Koh-Kwe Hsu, River Edge, NJ ;  
Susan M. Carlos, Scotch Plains, NJ ;

Continuing Data as Claimed by Applicant

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Foreign Applications

APR 23 2001

If Required, Foreign Filing License Granted 12/27/2000

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Title

System and method for delivering financial message

Preliminary Class

709

Data entry by : GARNETT, SANDRA

Team : OIPE

Date: 12/27/2000



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CONFIRMATION NO. 8983

Bib Data Sheet

SERIAL NUMBER 09/687,892	FILING DATE 10/13/2000 RULE	CLASS 709	GROUP ART UNIT 2152	ATTORNEY DOCKET NO. 4034-61
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## APPLICANTS

Phillip Koh-Kwe Hsu, River Edge, NJ;  
 Susan M. Carlos, Scotch Plains, NJ;

## \*\* CONTINUING DATA \*\*\*\*\*

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## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

APR 23 2001

## IF REQUIRED, FOREIGN FILING LICENSE

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Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	NJ	40	21	3
Verified and Acknowledged	Examiner's Signature Initials				

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## TITLE

System and method for delivering a financial message

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